

01/18/01

JC923

U.S. PTO

**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

13200-00058 (Previously 2666.42CIP)

First Named Inventor or Application Identifier

YAT-TUNG LAM

Express Mail Label No.

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:Commissioner for Patents
Box Patent Application
Washington, DC 202311. ☒ Fee Transmittal Form
(Submit an original, and a duplicate for fee processing)2. ☐ Applicant claims small entity status.
See 37 CFR 1.27.3. ☒ Specification Total Pages **44**4. ☒ Drawing(s) (35 USC 113) Total Sheets **10**5. ☒ Oath or Declaration Total Pages **3**a. ☒ Newly executed (original or copy)b. ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 17 completed)i. ☐ **DELETION OF INVENTOR(S)**
Signed Statement attached deleting
inventor(s) named in the prior application, see
37 CFR 1.63(d)(2) and 1.33(b).6. ☒ Application Data Sheet. See 37 CFR 1.76

See attached.

I hereby certify that the invention disclosed in the attached application
has not and is not intended, at this time, to be the subject of an
application filed in another country, or under a multilateral agreement,
that requires publication at eighteen months after filing.

I hereby request that the attached application not be published under 35
U.S.C. 122(b).

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer
Program (Appendix)8. ☐ Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)a. ☐ Computer Readable Form (CRF)

b. Specification Sequence Listing on:

i. ☐ CD-ROM or CD-R (2 copies); orii. ☐ paperc. ☐ Statements verifying identity of above copies**ACCOMPANYING APPLICATION PARTS**9. ☒ Assignment Papers (cover sheet & document(s))10. ☐ 37 CFR 3.73(b) Statement ☒ Power of Attorney
(when there is an assignee)11. ☐ English Translation Document (if applicable)12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS
Citations13. ☐ Preliminary Amendment14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)16. ☒ SB35 Request Not to Publish

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation☐ Divisional☒ Continuation-in-part (CIP) of prior application No. 09 / 678,728

Prior application information:

Examiner

Group/Art Unit:

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is
considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can
only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. CORRESPONDENCE ADDRESS☒ Customer Number or Bar Code Label

21760

(Insert Customer No. or Attach bar code label here)

or ☐ Correspondence address below

NAME

Address

City

State

Zip Code

Country

Telephone

Fax



CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
	TOTAL CLAIMS (37 CFR 1.16(c))	68	-20 = 48	X \$ 18.00 =	\$ 864.00
	INDEPENDENT CLAIMS (37 CFR 1.16(b))	19	-3 = 16	X \$ 80.00 =	\$ 1,280.00
	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d))			\$270.00 =	\$ 0
				BASIC FEE (37 CFR 1.16(a))	\$ 710.00
	Total of above Calculations =				\$ 2,854.00
	Reduction by 50% for filing by small entity (Note 37 CFR 1.9, 1.27, 1.28).				\$ 0
	TOTAL =				\$ 2,854.00

19. Small entity status

- a. ☐ A small entity statement is enclosed
- b. ☐ A small entity statement was filed in the prior nonprovisional application and such status is still proper and desired.
- c. ☒ Is no longer claimed.


20. ☐ A check in the amount of \$ _____ to cover the filing fee is enclosed.

21. ☐ A check in the amount of \$ _____ to cover the recordal fee is enclosed:

22. The Commissioner is hereby authorized to credit overpayments or charge the following fees to Deposit Account No. 06-1205:

- a. ☒ Fees required under 37 CFR 1.16.
- b. ☒ Fees required under 37 CFR 1.17.
- c. ☐ Fees required under 37 CFR 1.18.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

NAME	Richard P. Bauer, Reg. No. 31,588
SIGNATURE	
DATE	January 18, 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventors: Lam, Yat-Tung et al.**Group Art Unit:** Not Yet Assigned**Serial No.:** Not Yet Assigned**Examiner:** Not Yet Assigned**Filed:** Herewith**Title:** Movable Tap Finite Impulse Response Filter**REQUEST AND CERTIFICATION
UNDER 35 U.S.C. 122(b)(2)(B)(i)**Commissioner for Patents
Washington, D.C. 20231

Sir:

I hereby certify that the invention disclosed in the attached application **has not been and is not intended, at this time, to be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.

I hereby request that the attached application not be published under 35 U.S.C. 122(b).

Applicants reserve the right to subsequently file an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing. At that time, Applicants intend to notify the United

States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application.

Respectfully submitted,



Eric B. Janofsky
Attorney for Applicants
Registration No. 30,759

FOR OFFICIAL USE ONLY

09/16/190

Assistant Commissioner for Patents
Washington, D.C. 20231

Date 1/18/01
Atty. Docket 1320.00058

Sir:

Kindly acknowledge receipt of the accompanying:

☒ Specifications, claims and abstract 24 pages, with Transmittal Form.
☒ Oath Declaration and Power of Attorney ☒ Executed ☐ Not Executed

☒ 10 Sheets of ☒ Formal ☐ Informal Drawings

☒ Check for \$ 1,954.00 (filing fee) Deposited

☒ Small Entity Declaration

☒ Assignment PTO-1595 and Check for \$ 40.00 each Dep.

☐ Transmittal Under 37 CFR 1.136 and Check for \$ _____

☐ Other (specify) _____

by placing your receiving date stamp hereon and returning to deliverer.

Atty. RJB/dew Due Date 1/18/01

